



1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC 20004-2402
PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTNERSHIP

February 8, 2005

Patent Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Confirmation No. 5428

Re: U.S. Non-Provisional Patent Application No. 10/753,069

Filed: January 8, 2004

For: **Systems and Methods for Trading Actively
Managed Funds**

Inventors: WEBER *et al.*

Our Ref: 00322.0008.CPUS01

Sir:

Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Preliminary Amendment; and
2. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to **Deposit Account No. 08-3038**. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefore are hereby authorized to be charged to our Deposit Account No. 08-3038, referencing the above docket number. *A duplicate copy of this letter is enclosed.*

Respectfully submitted,

Michael J. Bell (Reg. No. 39,604)

Michael J. Stimson (Reg. No. 45,429)

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WEBER *et al.*

Appl. No. 10/753,069

Filed: January 8, 2005

For: **Systems and Methods for Trading
Actively Managed Funds**

Confirmation No. 5428

Art Unit: 3621

Examiner: To be assigned

Atty. Docket: 00322.0008.CPUS01

PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to the examination of the application, please enter the following amendment.

In the Specification:

Please delete the paragraph beginning at page 1, line 2 and replace it with the following.

--This application is a continuation-in-part of pending U.S. Patent Applications Serial No. 09/536,663, filed on March 27, 2000; Serial No. 09/536,258, filed on March 27, 2000; Serial No. 09/815,589, filed on March 23, 2001; Serial No. 10/123,779, filed on April 16, 2002, with priority to British patent application Serial No. 0206440.0, filed on March 18, 2002; and Serial No. 10/174,505, filed on June 17, 2002.--